

**Disclosure of Information for Professional Service Provider to Lower Paxton Township's
Municipal Pension System(s)
Professional Service Provider: Mockenhaupt Benefits Group**

Regarding individuals at Mockenhaupt Benefits Group who will provide services under the contract:

		Yes	No
1.	Are any current or former officials or employees of the municipality?		X
2.	Are any a registered federal or state lobbyist?		X
3.	Have any made a contribution in the past two years to a municipal official or candidate for office at municipality?		X
4.	Do any have a direct financial, commercial, or business relationship with any official of the municipality or municipal pension system?		X

Regarding Mockenhaupt Benefits Group and affiliated entities:

		Yes	No
1.	<p>Have you or an "affiliated entity" (as defined in Act 44 of 2009) made any contributions to which all of the following apply:</p> <ul style="list-style-type: none"> a. The contribution was made within the last five years. b. The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the firm or "affiliated entity." c. The amount of the contribution was at least \$500 in the form of <ul style="list-style-type: none"> i. a single contribution by a person in subparagraph (b) above; or ii. the aggregate of all contributions by all persons in subparagraph (b) above. d. The contribution was made to: <ul style="list-style-type: none"> i. a candidate for any public office in the Commonwealth or to an ii. individual who holds that office; or iii. a political committee of a candidate for public office in the Commonwealth or of an individual who holds that office. 		X
2.	Have you or an "affiliated entity" given any gifts to an official or employee of the municipal pension system or the municipality which controls the municipal pension system?		X
3.	Do you employ any third-party intermediary, agent or lobbyist?		X
4.	Do any additional potential or actual conflicts of interest exist relative to contracting of services with the municipality?		X

5. For the contribution(s) disclosed in your answer to No. 1 above, provide the following information:

- Name and address of the contributor: N/A
- Contributor's relationship to the Contractor: N/A
- Name and office or position of each person receiving a contribution: N/A
- Amount of the contribution: N/A
- Date of the contribution: N/A

We understand that knowingly making a material misstatement or omission on this form may cause the municipal pension system to void our professional services contract.



William C. Asay, CEBS

**Disclosure of Information for Professional Service Provider to Lower Paxton Township's
Municipal Pension System(s)**

Professional Service Provider: Principal Life Insurance Company

Regarding individuals who will provide services under the contract:

Yes No

1.	Are any current or former officials or employees of the municipality?		X
2.	Are any a registered federal or state lobbyist?		X
3.	Have any made a contribution in the past two years to a municipal official or candidate for office at municipality?		X
4.	Do any have a direct financial, commercial, or business relationship with any official of the municipality or municipal pension system?		X

Regarding your firm and affiliated entities:

Yes No

1.	Have you or an "affiliated entity" (as defined in Act 44 of 2009) made any contributions to which all of the following apply: <ul style="list-style-type: none"> a. The contribution was made within the last five years. b. The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the firm or "affiliated entity." c. The amount of the contribution was at least \$500 in the form of <ul style="list-style-type: none"> i. a single contribution by a person in subparagraph (b) above; or ii. the aggregate of all contributions by all persons in subparagraph (b) above. d. The contribution was made to: <ul style="list-style-type: none"> i. a candidate for any public office in the Commonwealth or to an ii. individual who holds that office; or iii. a political committee of a candidate for public office in the Commonwealth or of an individual who holds that office. 		X
2.	Have you or an "affiliated entity" given any gifts to an official or employee of the municipal pension system or the municipality which controls the municipal pension system?		X
3.	Do you employ any third-party intermediary, agent or lobbyist?		X
4.	Do any additional potential or actual conflicts of interest exist relative to contracting of services with the municipality?		X

5. For the contribution(s) disclosed in your answer to No. 1 above, provide the following information:

(attach additional pages if necessary):

Name and address of the contributor:

Contributor's relationship to the Contractor:

Name and office or position of each person receiving a contribution:

Amount of the contribution:

Date of the contribution:

We understand that knowingly making a material misstatement or omission on this form may cause the municipal pension system to void our professional services contract.

Christy Robb

Authorized Signature

**Disclosure of Information for Professional Service Provider to Lower Paxton Township's
Municipal Pension System(s)**

Professional Service Provider: Wells Fargo Bank, NA

Regarding individuals who will provide services under the contract:

		Yes	No
1.	Are any current or former officials or employees of the municipality?		X
2.	Are any a registered federal or state lobbyist?		X
3.	Have any made a contribution in the past two years to a municipal official or candidate for office at municipality?		X
4.	Do any have a direct financial, commercial, or business relationship with any official of the municipality or municipal pension system?		X

Regarding your firm and affiliated entities:

		Yes	No
1.	<p>Have you or an "affiliated entity" (as defined in Act 44 of 2009) made any contributions to which all of the following apply:</p> <ul style="list-style-type: none"> a. The contribution was made within the last five years. b. The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the firm or "affiliated entity." c. The amount of the contribution was at least \$500 in the form of <ul style="list-style-type: none"> i. a single contribution by a person in subparagraph (b) above; or ii. the aggregate of all contributions by all persons in subparagraph (b) above. d. The contribution was made to: <ul style="list-style-type: none"> i. a candidate for any public office in the Commonwealth or to an ii. individual who holds that office; or iii. a political committee of a candidate for public office in the Commonwealth or of an individual who holds that office. 		X
2.	Have you or an "affiliated entity" given any gifts to an official or employee of the municipal pension system or the municipality which controls the municipal pension system?		X
3.	Do you employ any third-party intermediary, agent or lobbyist?		X
4.	Do any additional potential or actual conflicts of interest exist relative to contracting of services with the municipality?		X

5. For the contribution(s) disclosed in your answer to No. 1 above, provide the following information:

(attach additional pages if necessary):

Name and address of the contributor:

Contributor's relationship to the Contractor:

Name and office or position of each person receiving a contribution:

Amount of the contribution:

Date of the contribution:

None

We understand that knowingly making a material misstatement or omission on this form may cause the municipal pension system to void our professional services contract.

 Jim DiMamario, AVP
Authorized Signature

**Disclosure of Information for Professional Service Provider to Lower Paxton Township's
Municipal Pension System(s)**
Professional Service Provider: Rochdale Investment Management

Regarding individuals who will provide services under the contract:

		Yes	No
1.	Are any current or former officials or employees of the municipality?		✓
2.	Are any a registered federal or state lobbyist?		✓
3.	Have any made a contribution in the past two years to a municipal official or candidate for office at municipality?		✓
4.	Do any have a direct financial, commercial, or business relationship with any official of the municipality or municipal pension system?		✓

Regarding your firm and affiliated entities:

		Yes	No
1.	Have you or an "affiliated entity" (as defined in Act 44 of 2009) made any contributions to which all of the following apply: <ul style="list-style-type: none"> a. The contribution was made within the last five years. b. The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the firm or "affiliated entity." c. The amount of the contribution was at least \$500 in the form of <ul style="list-style-type: none"> i. a single contribution by a person in subparagraph (b) above; or ii. the aggregate of all contributions by all persons in subparagraph (b) above. d. The contribution was made to: <ul style="list-style-type: none"> i. a candidate for any public office in the Commonwealth or to an ii. individual who holds that office; or iii. a political committee of a candidate for public office in the Commonwealth or of an individual who holds that office. 		✓
2.	Have you or an "affiliated entity" given any gifts to an official or employee of the municipal pension system or the municipality which controls the municipal pension system?		✓
3.	Do you employ any third-party intermediary, agent or lobbyist?		✓
4.	Do any additional potential or actual conflicts of interest exist relative to contracting of services with the municipality?		✓

5. For the contribution(s) disclosed in your answer to No. 1 above, provide the following information:

(attach additional pages if necessary):

Name and address of the contributor:

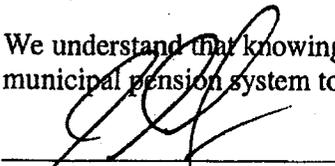
Contributor's relationship to the Contractor:

Name and office or position of each person receiving a contribution:

Amount of the contribution:

Date of the contribution:

We understand that knowingly making a material misstatement or omission on this form may cause the municipal pension system to void our professional services contract.



Authorized Signature